



Minnesota Pollution  
Control Agency



Date: December 2, 2014

To: Public Wastewater, Stormwater, and Drinking Water Officials

Subject: **Accepting Projects for State Revolving Fund Loan Programs**

Submissions are now being accepted to place public wastewater, stormwater, and drinking water infrastructure improvement projects on the 2016 Project Priority Lists (PPL) and Intended Use Plans (IUP) for the Clean Water and Drinking Water Revolving Funds. Projects must be listed on the appropriate PPL as the first step to be eligible for the revolving fund loan programs or Clean Water Legacy grants. System owners must request that their projects be placed on the appropriate 2016 IUP if they expect to be ready for construction and are seeking financing in state fiscal year 2016 (July 1, 2015 to June 30, 2016).

The two state revolving fund programs are administered by the Minnesota Public Facilities Authority (PFA), together with the Minnesota Pollution Control Agency (MPCA) and Minnesota Department of Health. The PFA provides below-market rate loans, principal forgiveness or grants to local governments for eligible projects based on priorities determined by the MPCA (for wastewater and stormwater projects) and the MDH (for drinking water projects).

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| Additional information and instructions are enclosed |
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Deadlines:

**Project Priority List – Clean Water Revolving Fund**

- Ø Wastewater and stormwater projects
- Ø Deadline: March 6, 2015 (on or before) to MPCA
  - PPL Application and scoring worksheet  
(Including supporting documentation, as required)
  - Wastewater facilities plans
  - Stormwater project plans
- Ø See enclosed **yellow sheet** for information

Bill Dunn, MPCA

651-757-2324

[bill.dunn@state.mn.us](mailto:bill.dunn@state.mn.us)

[www.pca.state.mn.us/ppl](http://www.pca.state.mn.us/ppl)

**Project Priority List – Drinking Water Revolving Fund**

- Ø Drinking water projects
- Ø Deadline: May 1, 2015 to Department of Health
- Ø See enclosed **blue sheet** for information

Chad Kolstad, MDH

651-201-3972

[chad.kolstad@state.mn.us](mailto:chad.kolstad@state.mn.us)

[www.health.state.mn.us/divs/eh/water/dwrf](http://www.health.state.mn.us/divs/eh/water/dwrf)

**2016 Intended Use Plans – Both Programs**

- Ø Specify which program on submission
- Ø Deadline: June 5, 2015 to Public Facilities Authority
- Ø See enclosed **green sheet** for information

Becky Sabie, PFA

651-259-7470

[rebecca.sabie@state.mn.us](mailto:rebecca.sabie@state.mn.us)

[www.mn.gov/deed/pfa](http://www.mn.gov/deed/pfa)

Working in cooperation: Minnesota Pollution Control Agency, Minnesota Public Facilities Authority and Minnesota Department of Health.



## Accepting Submissions from Wastewater or Stormwater Projects for the Clean Water Revolving Fund (CWRF) 2016 Project Priority List

Proposals are being accepted to place wastewater or stormwater collection and treatment system projects on the Clean Water Revolving Fund (CWRF) Project Priority List (PPL). **Projects must be on the PPL to be eligible to apply for below market rate CWRF loans and other Clean Water Legacy grants and loans from the Minnesota Public Facilities Authority (PFA).** PFA financing is for investments in municipal infrastructure which result in improvements in water quality. Funding is not available for privately owned infrastructure.

**Please Note:** Projects on the 2015 PPL will automatically be listed on the 2016 PPL unless the project has received funding, requested removal or shown no progress in the past five (5) years. If necessary, please submit updated project costs, construction schedule and PPL scoring parameters. It is to your advantage to keep all scoring information as current as possible.

In 2014 the Clean Water Act was reauthorized and the requirement for Green Project Reserve (GPR) was eliminated. To the extent available, federal principal forgiveness will be provided to projects in priority order to address affordability needs per existing criteria, water efficiency goals, energy efficiency goals or to mitigate stormwater. Projects believed to include water efficiency; energy efficiency or stormwater mitigation as described in the PPL application forms, should indicate their potential eligibility in the PPL application and Intended Use Plan (IUP) request for placement.

Municipalities planning to start construction and receive CWRF financing before June 30, 2016, must also submit a request to the PFA to place the project on the 2016 IUP. (See the enclosed PFA notice.)

### **IMPORTANT DEADLINES:**

Wastewater Facilities Plans or Stormwater Project Plans  
are due to the MPCA (to ensure priority review) .....March 6, 2015 or before

Request for Placement on 2016 PPL due to the MPCA .....March 6, 2015 or before

Request for Placement on the 2016 IUP due to the PFA .....June 5, 2015

Wastewater Facilities Plans or Stormwater Project Plans  
preliminary approval by MPCA for 2016 IUP projects .....July 1, 2015

To obtain necessary PPL instructions, application forms and general program information go to:

[www.pca.state.mn.us/ppl](http://www.pca.state.mn.us/ppl)

For more information, to receive materials by postal mail or to ask questions, please contact:

Bill Dunn, Clean Water Revolving Fund Coordinator  
Minnesota Pollution Control Agency  
520 Lafayette Road North  
Saint Paul, MN 55155-4194  
651-757-2324 or [bill.dunn@state.mn.us](mailto:bill.dunn@state.mn.us)



## Drinking Water Revolving Fund

### Accepting Submissions for 2016 Project Priority List

The Minnesota Department of Health is soliciting requests to place new projects on the Drinking Water Revolving Fund (DWRF) Project Priority List (PPL). Projects must be on the list to be eligible for below-market rate DWRF loans to improve public drinking water systems. Typical projects include watermain, wells, water treatment plants and water towers. Funding is not for expansion of water systems to serve future growth or for fire suppression infrastructure.

- Submissions are easy to prepare. Go to [www.health.state.mn.us/divs/eh/water/dwrf](http://www.health.state.mn.us/divs/eh/water/dwrf) for instructions on what to submit, a general program overview, and for an example of a simplified submission.
- Submissions are due at the Department of Health by **Friday, May 1, 2015**.
- Water system owners planning to start construction and receive DWRF financing before June 30, 2016, must also submit a request to the Public Facilities Authority (PFA) to place the project on the 2016 Intended Use Plan (IUP). (See the enclosed green sheet from the Public Facilities Authority.)
- **Note for Projects on the Current IUP:** The environmental review process has been modified to assure that the requirements of the National Historic Preservation Act are met. Most projects will not have a significant impact from these revisions but sufficient time should be allowed in the event that a historical structure or archeological site is impacted. To find out more information about the environmental review process or for a step-by-step checklist please go to:  
<http://www.health.state.mn.us/divs/eh/water/dwrf/er/index.html>  
**This only applies to projects on the current IUP.**
- **Questions?** Contact Chad Kolstad at 651-201-3972 or [chad.kolstad@state.mn.us](mailto:chad.kolstad@state.mn.us)

*Environmental Health Division, Section of Drinking Water Protection, P.O. Box 64975, St. Paul, Minnesota 55164-0975  
TTY: (651) 201-5797*



## **Clean Water Revolving Fund Drinking Water Revolving Fund**

### **Requests for Placement on the 2016 Intended Use Plans**

Loans from the Clean Water Revolving Fund (CWRF) and Drinking Water Revolving Fund (DWRF) programs are made by the Minnesota Public Facilities Authority (PFA), which is responsible for financial management of the funds. The PFA prepares an annual Intended Use Plan (IUP) for each program that lists the projects eligible to apply for loans. Wastewater and stormwater projects seeking CWRF loans must be listed on the Minnesota Pollution Control Agency's (MPCA) Project Priority List to be eligible for placement on the IUP, and construction projects must have an approved facility plan. Drinking water projects seeking DWRF loans must be listed on the Minnesota Health Department's Project Priority List to be eligible for placement on the IUP.

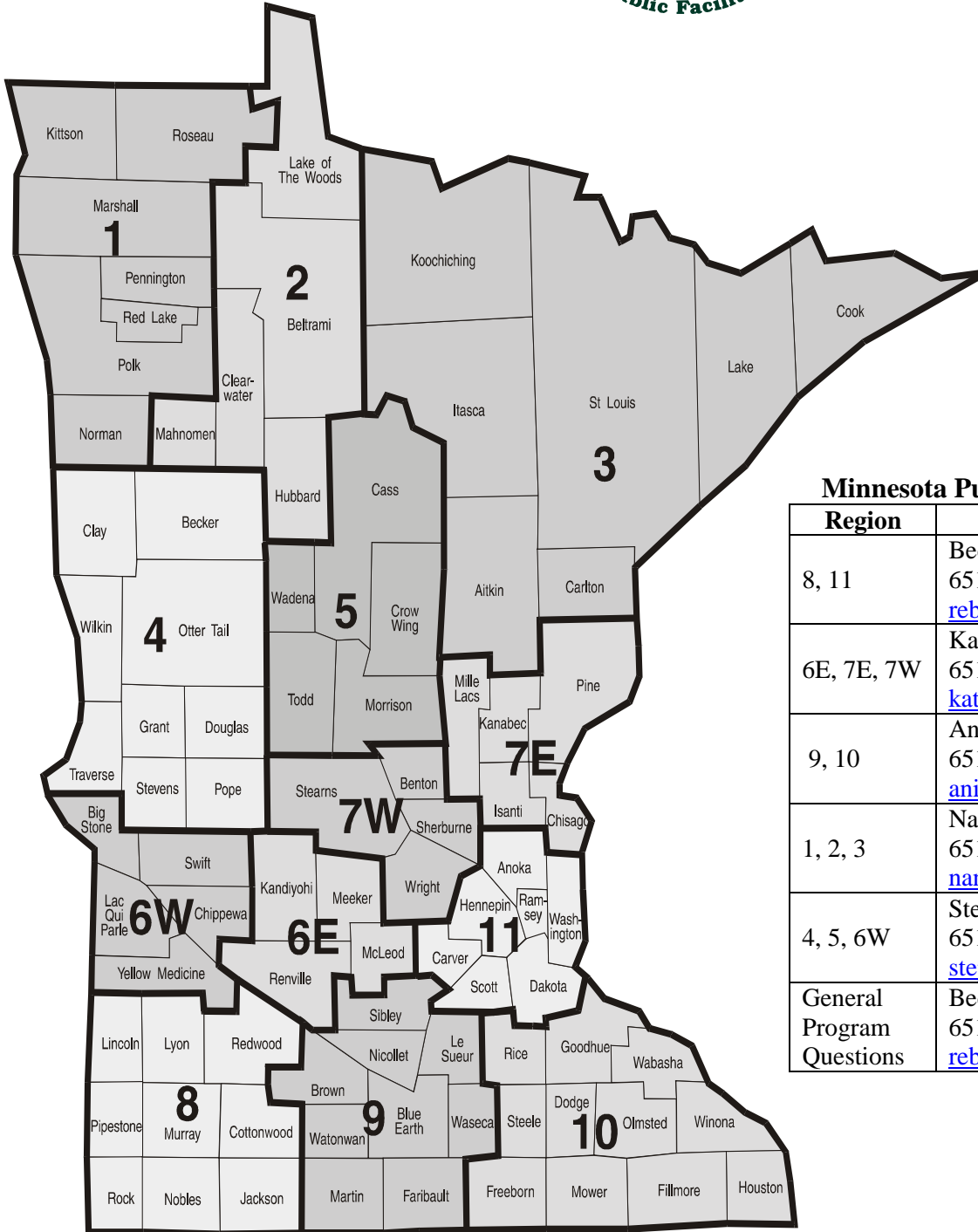
The PFA is accepting requests through June 5, 2015 for placement on the 2016 IUPs. The 2016 IUPs will list projects that are expected to receive all necessary approvals and be ready to proceed in state fiscal year (FY) 2016 (July 1, 2015 to June 30, 2016).

The PFA will prepare the 2016 IUPs based on the requests received, the project priorities set by the MPCA and Health Department, and the amount of loan funds available. There is no guarantee that all projects requesting placement on the 2016 IUPs will be placed in the fundable range and allowed to apply for loans.

A written request must be submitted to the PFA by June 5, 2015 to request placement on the 2016 CWRF or DWRF IUP. IUP requests should be sent to the appropriate PFA loan officer. (See map on back.) The request must be signed by a municipal official (mayor, clerk, administrator, etc.) of the municipality that will be issuing a general obligation bond to the PFA as security for the loan. For each separate project please provide the following information:

- The project name and description as it is (or will be) shown on the Project Priority List.
- The current project cost estimate, requested loan amount, and information about the status of any other sources of funds being sought or received (including any temporary financing).
- Estimated cost of project components believed to meet the eligibility requirements for water efficiency, energy efficiency or stormwater mitigation as described in information provided by the MPCA.
- A project schedule showing the following estimated dates:
  - submittal of plans and specifications to the MPCA or Health Department
  - bid advertising and bid opening dates
  - construction start
  - construction end

General questions about the IUP process may be directed to Becky Sabie at 651-259-7470. Specific project financing questions should be directed to the appropriate PFA loan officer.



### Minnesota Public Facilities Authority

| Region                    | PFA Loan Officer   |
|---------------------------|--|
| 8, 11                     | Becky Sabie<br>651-259-7470<br><a href="mailto:rebecca.sabie@state.mn.us">rebecca.sabie@state.mn.us</a>                |
| 6E, 7E, 7W                | Kathe Barrett<br>651-259-7464<br><a href="mailto:kathe.barrett@state.mn.us">kathe.barrett@state.mn.us</a>              |
| 9, 10                     | Anita Gallentine<br>651-259-7466<br><a href="mailto:anita.gallentine@state.mn.us">anita.gallentine@state.mn.us</a>     |
| 1, 2, 3                   | Nancy Johnson<br>651-259-7467<br><a href="mailto:nancy.lc.johnson@state.mn.us">nancy.lc.johnson@state.mn.us</a>        |
| 4, 5, 6W                  | Steve LaFontaine<br>651-259-7471<br><a href="mailto:stephen.lafontaine@state.mn.us">stephen.lafontaine@state.mn.us</a> |
| General Program Questions | Becky Sabie<br>651-259-7470<br><a href="mailto:rebecca.sabie@state.mn.us">rebecca.sabie@state.mn.us</a>                |

Nov 2013

Minnesota Public Facilities Authority  
1<sup>st</sup> National Bank Building • 332 Minnesota St., Suite W820 • Saint Paul, MN 55101-1378 • USA  
651-259-7469 • 800-657-3858 • Fax: 651-296-8833 • TTY/TDD: 651-296-3900  
[www.mn.gov/deed/pfa](http://www.mn.gov/deed/pfa)